

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

***PATENT***

Inventor:	Willis et al.	Docket No.:	10060.0100
Serial No.:	09/844,198	Examiner:	Jocelyn Greimel
Filing Date:	April 27, 2001	Art Unit:	3693
TITLE:	Computer-Implemented Method and Apparatus for Adjusting the Cost Basis of a Security		

**AMENDMENT PURSUANT TO 37 C.F.R. 1.312**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Commissioner:

Please amend the captioned application as indicated below.

Amendments to the specification begin on page 2 of this paper.

Amendments to the claims begin on page 15 of this paper.

Remarks begin on page 23 of this paper.